

ISO 37001:2016 ANTI-BRIBERY MANAGEMENT SYSTEM

LEAD AUDITOR TRAINING OUTLINES

Building Trust. Ensuring Compliance.



ISO 37001:2016 Anti-Bribery Management System (ABMS)

LEAD AUDITOR TRAINING COURSE

Course Description

The course aims to develop the knowledge and skills required to conduct a full audit of an organization's ISO 37001:2016 Anti-Bribery Management System (ABMS). The course will also increase the auditor's confidence to efficiently audit an ABMS in accordance with internationally recognized best practices and techniques. Our trainers provide delegates with guidance and practical experience in how to plan, execute, and report the results of Anti-Bribery Management System Audits.

Anti-bribery is now recognized as a critical business aspect which if not managed correctly, and effectively, may impact upon your organization's reputation, corporate governance, legal compliance and it's sustainable development.

The highly-specialized skills, competence and practical knowledge required to assess the effectiveness of an organization's Anti-Bribery Management System are provided by the ABAC Certification ABMS Lead Auditor Training Course.

This innovative, five day course provides a solid underpinning in all aspects of the management system audit process. Stage by stage, delegates are taken through a structured program that includes a balance of subject-specific theory and practical learning. Using a combination of collaborative training aids, case studies and practical exercises, including role play, delegates will gain an understanding in how to interpret the ISO 37001:2016 ABMS and the key principles of performing systematic audits of the standard.



Course Benefits

Understanding the globalization of corruption

Identify the aims and benefits of an ISO 37001:2016 Audit

Adding value to your internal audit process

Understand the application of risk-based thinking, process approach, and leadership

Remaining compliant to the International Standard for Anti-Bribery Management Systems

Practically trains personnel in auditing practices and techniques

Development the skillsets, tools, techniques and attributes of internal auditors; as well as the main core knowledge requirements

Build stakeholders confidence by identifying and managing organizational risk from bribery and corruption

COURSE OUTLINE

1.

DAY 1 AUDIT PRINCIPLES, PREPARATION, AND LAUNCHING OF ISO 37001:2016 ABMS

- Unit 1: Essential audit perceptions and principles
- Unit 2: Risk-based audit methodology
- Unit 3: Significant concerns in bribery risk assessment
- Unit 4: Competence criteria — ISO TS 17021-9 specific overview
- Unit 5: Initiating the audit — ISO 19011 — overview
- Unit 6: Stage 1 audit — practical exercises
- Unit 7: Preparing the stage 2 audit (on-site audit)
- Unit 8: Stage 2 audit (Part 1) — practical exercises

2.

DAY 2 CONDUCTING ON-SITE ISO 37001:2016 ABMS AUDIT

- Unit 9: Stage 2 audit (part 2) — practical exercises
- Unit 10: Communication during the audit
- Unit 11: Audit procedures
- Unit 12: Creating audit test plans
- Unit 13: Drafting audit findings and non-conformity reports

3.

DAY 3 CONCLUDING THE AUDIT AND FOLLOW-UP

- Unit 14: Documentation of the audit and quality review
- Unit 15: Closing the audit
- Unit 16: Evaluating action plans by the auditor
- Unit 17: Beyond the initial audit
- Unit 18: Handling an internal audit program
- Unit 19: Competence and evaluation of auditors
- Unit 20: Consultancy and audit
- Unit 21: Concluding and ensuring the follow-up of ISO 37001:2016 ABMS audit

4.

DAY 4 OVERVIEW OF ISO 37001:2016 ABMS AND GLOBAL REGULATORY FRAMEWORK

- Unit 22: Course overview
- Unit 23: ISO 37001:2016 ABMS and global regulatory framework
- Unit 24: Overview of U.S. Foreign Corrupt Practices Act and UK Bribery Act
- Unit 25: Bribery concepts and ethics of anti-bribery management
- Unit 26: Anti-Bribery Management System (ABMS) — implementation
- Unit 27: Risks of bribery — ISO 37001:2016, clauses 7 and 8
- Unit 28: Risk-based due diligence vs. inadequate due diligence
- Unit 29: Certification procedure and effectiveness

5.

DAY 5 EXAM, GRADING AND CERTIFICATES

- Exam, Grading and Certificates

LEARNING OBJECTIVES



Upon completion of this training, delegates will be able to explain and apply:

- Requirements for auditing and certification of anti-bribery management systems
- The roles and responsibilities of an auditor and audit team members
- The principles and techniques of auditing to ISO 37001:2016 ABMS
- Planning, conducting, reporting and following up audit activities
- Interviewing skills and techniques to extract audit evidence
- The attributes and behavioral requirements of being an auditor

INTENDED AUDIENCE



- All personnel involved in the development, implementation, and evaluation of their organizations ABMS
- All personnel involved with the assessment of the organizations internal and external supply chain
- All personnel who may be exposed to bribery and compliance risk
- All personnel who are involved coordinating anti-bribery compliance activities within your organization
- All personnel who have been given the responsibility to audit an Anti-Bribery Management System
- Existing auditors who wish to refresh their skills

ELIGIBILITY

A prerequisite requirement before taking this course would be to successfully pass Course 1: ISO 37001:2016 Anti-Bribery Management Systems Introductory Training

CLASS INFORMATION

Duration: 40 hours

Category: Auditing

Level: Professional

Scheme(s): ABMS

Examination: 3 hours

CPD points: 35



Contact the ABAC® Center of Excellence today to enroll in the Lead Auditor Training

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